

Basic details

Stock Broker	Registration		Branch Address	Contact Number	Email id
Name	Number	Registered Address	(if any)	Number	
IndusInd		2401, General		18002660616	corporatecare@indusind.com
Bank Ltd.		Thimmayya Road,		022-61798326	cdsops@indusind.com
		cantonment, Pune,			
	INZ000188738	Maharashtra, 411001	NIL		

Escalation Matrix:

Details of	Contact Person	Address	Contact No.	Email Id
Customer	Mr. Venkatraman	IndusInd Bank	18002660616	corporatecare@indusind.com
care	Ganesan	Limited, 3rd Floor, #		
		86, Eshwaran Koil		
		Street, OMR Road,		
		Muthamiz Nagar,		
		Karapakkam, Chennai 600 097		
III	Maria Bara		4000000000	and the second s
Head of	Mr. Joy Bose	IndusInd Bank	18002660616	corporatecare@indusind.com
Customer		Corporate Services		
care		Communication		
		Business Square, 3rd		
		Floor C Wing-302 Andheri Kurla Rd		
		Mumbai, Maharashtra 400093		
Compliance	Mr. Umakant	731, Solitaire	+912261798364	cco_regulatory@indusind.com
Officer	Sardesai	Corporate Park, 3rd		
		Floor, 167 Guru		
		Hargovindji Marg,		
		Opp. Mirador Hotel,		
		Andheri (east),		
		Mumbai -400093		





CEO	Mr. Sumant	8th Floor, Tower 1,	18602677777/	managingdirector@indusind.
	Kathpalia	One India Bulls	02268577777/	<u>com</u>
		Centre, Jupiter Mills	02242207777	
		Compound, 841,	(Outside India)	
		S.B.Marg,		
		Elphinstone Road		
		(West),		
		Mumbai400013		

Names and contact details of all Key Managerial Personnel including the Compliance Officer-

Sr. No.	Name of the Individual	Designation	Mobile Number	Email Id
1	Mr. Sumant Kathpalia	Managing Director and CEO	1860267777/ 02268577777/ 02242207777 (Outside India)	managingdirector@indusind.com
2	Mr. Arun Khurana	Executive Director & Chief Financial Officer		investor@indusind.com
3	Mr. Anand Kumar Das	Company Secretary	02266412487	investor@indusind.com
4	Mr. Umakant Sardesai	Compliance Officer	02261798364	cco_regulatory@indusind.com

Procedures for opening a trading account

Opening a Trading Account: (Product- Exchange Traded Currency Derivatives)

Before opening a Trading Account in Exchange Traded Currency Derivatives Account, it is mandatory for an investor/client to open a CASA Account with the IndusInd Bank i.e (Current Account / Savings Account). The investor needs to fill form mentioned in the following link for Opening of Trading Account basis client category i.e. Individual category & Non-individual category:

Currency Derivatives – Non Individual Account: Click Here

Currency Derivatives – Individual Account & Proprietorship: Click Here

Currency Derivatives – Checklist: Click Here





The following additional documents are required for opening a Trading Account in the Exchange Traded Currency Derivatives Segment:

Proof of Income	Proof of Bank Account (any one)				
✓ Bank account statement for last 6 months ✓ Latest Salary Slips/ Form 16 in	✓ Cancelled Cheque				
case of salaried person	(with name of investor above sign here section)✓ Bank Passbook				
✓ Copy of ITR Acknowledgement	{with Indian Financial System Code (IFSC)}				
✓ Passport					
✓ Copy of Net-worth Certificate issued					
by a					
Chartered Accountant					

Account Opening Form has two type of documents:

MANDATORY DOCUMENTS	VOLUNTARY DOCUMENTS**				
 ✓ Rights & Obligations of Stock Broker and Investor. ✓ Uniform Risk Disclosure Documents. ✓ Do's and Don'ts for trading on Exchanges. ✓ Policies and Procedures of Stock Broker. ✓ Tariff Sheet. 	 ✓ Running Account Authorization. ✓ Power of Attorney (PoA). ✓ Electronic Contract Note (ECN) Declaration. ✓ Consent for electronic communication and receiving alerts (Email/SMS). 				

^{**(}to be submitted as mandated by Bank)

On filling up the Account Opening form the Investor may submit the documents through Relationship Manager: Investor/client can handover the required physical set of documents including the Account Opening Form to the relationship manager, who introduced you to the bank.

After completion of the documentation for KYC, Welcome letter will be sent on the Investor/client registered email ID along with the Unique Client Code (UCC) and back office contact details.





<u>Procedure for filing a complaint on designated email id/ Toll-free number and finding out the status of the complaint etc</u>

- 1. Complaints can be lodged with different mode mentioned as under -
 - •Call Contact Center on following nos: 1860 267 7777(India) / +91 22 61553100 / 022 4220 7777 (International)
 - •Send email to Contact Centre at: corporatecare@indusind.com;

In case, Branch / Contact Centre are unable to resolve the issue within 7 working days, Customers can escalate the complaint to Head - Customer Care as under –

Mr. Joy Bose - Head of Customer care

IndusInd Bank
Corporate Services Communication Business Square,
3rd Floor C Wing-302
Andheri-Kurla Road
Mumbai, Maharashtra 400093
Contact no – 18002660616

Email: - <u>corporatecare@indusind.com</u>

For Redressal of Customer Grievances an Investor/client to refer to following link: Click Here

In absence of response/complaint not addressed to your satisfaction, you may lodge a complaint with SEBI or Exchange at - SEBI

at

https://scores.gov.in/scores/welcome.html or Exchange at https://investorhelpline.nseindia.com/NICEPLUS/

Please quote your Service Ticket/Complaint Ref No. while raising your complaint at SEBI SCORES/Exchange portal.

- 1. For status of the complaints registered at IndusInd Bank, contact our customer support team at [+912266412203] or [cco_regulatory@indusind.com]
- 2. current status of your complaint, such as "Under Review," "In Progress," "Resolved," or "Awaiting Response" can be received by contacting customer support team by providing ticket number of the complaint.





Details of Authorized Persons

Sr. N o	Authorise d Person's	Authorise d Person Code (Exchange	Constitu		Registered Address				Terminal Details (Exchange Wise)	
	Name	wise)	t ion	Status						
				(Approved/Cancelle d)	Ad d	Cit y	Stat e	Pi n	Terminal Allotted(Y/N)	No. of termina

List of Authorised Persons (AP) Cancelled by Members on Account of Disciplinary Reasons								
Sr. No	Authorised Person's Name	Status	Authorised Person Cancellation Details					
			Date	Reason				
	NA							

