



PIONEER
the lost art of wealth management

IndusInd Bank

MUTUAL FUND - COMMON TRANSACTION FORM

Date:

Investor Name:

Joint Holder 1 Name:

Joint Holder 2 Name:

I wish to transact in Mutual Fund Schemes as per the below mentioned details:

DETAILS FOR BUY/ SELL

S.No.	Applying For (BUY/ SELL)	Folio No.	Scheme Name with Option	Amount (₹)/ Units
	BUY/ SELL			
	BUY/ SELL			
	BUY/ SELL			

SYSTEMATIC INVESTMENT PLAN (SIP)/ SYSTEMATIC WITHDRAWAL PLAN (SWP) DETAILS

S.No.	Applying For (SIP/ SWP)	Folio No.	Scheme Name with Option			Amount (₹)
	SIP/ SWP					
			Frequency	Start Date	No. of Installments	
			Monthly/ Weekly			
	SIP/ SWP					
			Frequency	Start Date	No. of Installments	
			Monthly/ Weekly			
	SIP/ SWP					
			Frequency	Start Date	No. of Installments	
			Monthly/ Weekly			

SWITCH DETAILS

S.No.	Folio No.	Switch from and Switch to Scheme Name with Option	Amount (₹)
		Switch From:	
		Switch To:	
		Switch From:	
		Switch To:	

SYSTEMATIC TRANSFER PLAN DETAILS

S.No.	Folio No.	Switch from and Switch to Scheme Name with Option	Amount (₹)
		Switch From:	
		Switch To:	
		Frequency	Start Date
		Monthly/ Weekly	No. of Installments

I authorize IndusInd Bank to debit/ credit my IndusInd Bank Account no. _____ to process the above mentioned transactions.

Nomination Details: (Choose any one from the below mentioned options)

☐ I/ We have been explained about the benefits of the nomination facility. However, I/ we would like to inform you that I/ we do not wish to provide nomination for my/ our mutual funds units.

☐ I/ We do hereby nominate the following person on the day of in respect of units held by me/ us.

Nominee Name	Nominee Address	Additional Details (if nominee is minor)		
		Nominee DOB	Guardian Name & Address	Guardian Signature

I/We confirm that:

1. I have read and understood the product Literature comprising of offer document/ Key Information Memorandum and other related document with respect to above mentioned Mutual Fund schemes.
2. I have been informed about the key features and risk factors of the scheme(s)/ products pertaining to the various mutual fund schemes distributed/ referred by the Bank. I hereby agree to abide by and be bound by the terms, conditions rules and regulations thereof.
3. I further confirm that any transaction I have decided to make is being made at my own risk, having read and understood all relevant product literature.
4. I am/ are fully aware that my investment does not amount to any form of Bank deposit. I will not hold the Bank responsible for any losses that I may suffer in connection with my selection of investing in various Mutual fund schemes.
5. The investment decisions are solely at my own discretion. I have not received nor been induced by any rebate or gifts, directly or indirectly, in making this investment I have not relied upon or am influenced by any suggestions/ recommendations/ investment advice by the Bank and/ or any of its employees, as regards the merits and/ or various Mutual fund schemes.
6. I am aware that mere execution of the documents such as application forms for availing of the above mentioned Mutual fund schemes does not guarantee allotment. In the event of delay in processing/ rejection of my application form by the product or service provider for any reason whatsoever I shall not hold the Bank responsible for the same.
7. I am aware about IndusInd Bank's cut-off time for processing mutual fund transaction on the same day and that all transactions shall be processed on best effort basis.
8. I am aware that investment made in the various Mutual fund schemes is subject to risk and that there is no guarantee that the original amount invested will be protected. The value of investment held in the various Mutual fund schemes is likely to fluctuate depending upon fluctuations in the financial/ capital markets.
9. I hereby declare that the amount being invested by me in the scheme/s as offered by the product or service provider is derived through legitimate sources and is not held or designed for the purpose of contravention of any Act, Rules, Regulations or any statute or legislation or any other applicable laws or any Notifications, Directions issued by any government or statutory authority from time to time.
10. I confirm and understand that the Bank makes no representation as to the accuracy, completeness or reliability of any information contained in the product literature in respect of the various Mutual fund schemes as provided by the financial services and/ or products provider.
11. I confirm that the Bank has disclosed to me all the commissions (in the form of trail commission or any other mode) receivable by the Bank as mentioned in the Schedule hereunder for the various Mutual fund schemes referred by the Bank.
12. I confirm that the Bank has disclosed to me the information pertaining to the investments chosen to be made by me in the various Investment Services.
13. I hereby authorize the Bank to invest in the mutual fund schemes as mentioned above
14. I further agree that any false/ misleading information given by me or suppression of any material fact will render my account liable for termination.
15. I declare that all the details in my relationship record are true and correct and any instruction given to Bank to transact business on my behalf shall be in due conformity with the applicable laws as may for the time being be in force. Any tax implications arising out of any transactions entered in to pursuant to these terms and conditions would be as per the Provisions of the Income Tax Act, 1961, or any modification or re-enactment thereof.
16. I agree and declare that any and all tax liability will be my sole responsibility. I shall execute and deliver to the Bank, from time to time such other documents as may be specified by the Bank for compliance or updating of records if any.
17. I have read and understood the terms and conditions applicable to my Investment Service Account and agree to be bound by the said terms and conditions including those excluding/limiting Banks Liability.
18. I undertake to make the applicants to the investments aware of the provisions of the terms and conditions and the same will be binding on me by use of the facility provided herein.
19. For Senior Citizens Only: I am aware that the Bank doesn't refer investments into Mutual Fund Schemes with lock-in period to Individuals of age 65 and above, considering the features, lock in period and the inherent risk in such schemes may not be suitable for Senior Citizens.

SCHEDULE I

Disclosure on Commission received By IndusInd Bank for various Mutual Fund Schemes*	
Scheme Types	Trail Commission p.a.
Equity & Hybrid Funds	0.10% - 2.00%
Debt & Conservative Hybrid Fund	0.01% - 1.50%
Liquid & Overnight Fund	0.01% - 0.30%
Solution Oriented & Other Mutual Fund	0.02% - 1.50%
Bonds/NCDs/SGBs/Others	0.00% - 1.00% Upfront

*Taxes as Applicable

Note:

- 1) IndusInd Bank is an "AMFI-registered Mutual Fund Distributor", wherein the Bank distributes products of various Asset Management companies. The initial registration date for ARN -0633 is 1st Jan 2003 and the current validity of the ARN is 1st Jan 2025. IndusInd Bank is paid commissions for investment made under broker code ARN-0633.
- 2) Regular plans involve payment of commission to MFDs.
- 3) As per SEBI circular no. Cir/IMD/DF/13/2011 dated August 22, 2011 Mutual Funds are allowed to pay transaction charge to distributor per subscription of ₹10,000 & above. IndusInd Bank has "Opted Out" of this. Hence no such charge will be levied by Mutual Fund on the investment amount.
- 4) The above will be updated on best effort basis and subject to change without any prior consent and at the sole discretion between the AMC and the Bank.
- 5) For further detail commission structure, please contact our nearest branch or call your relationship manager.
- 6) IndusInd Bank acts as referrer for Portfolio Management Service (PMS) & Alternative Investment Funds (AIF) and is providing purely a referral service strictly on a non-risk participation basis.

Investment Service Account Holder Name	PAN No.	Signature

FOR INTERNAL USE ONLY (Not to be filled by the client): Business Solicited By

Pre-requisite Checks	Sourcer Name	EUIN No.	Signature
<input type="checkbox"/> Online Investment Account Activated			
<input type="checkbox"/> Risk Profile Completed			
<input type="checkbox"/> Mobile & Email ID updated in Finacle			

*It is advised to carry Investment Service Account opening form, Risk Profile Questionnaire and Email ID & Mobile No. update form along with this form. This facility can be activated only after the pre-requisites checks are complete.

Introducer Name	Introducer ECN	Introducer Signature