

IndusInd Bank Limited

ARCHIVAL POLICY

I. Regulatory Landscape

The Securities and Exchange Board of India notified the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 (“Listing Regulations”) on September 2, 2015, which became effective from December 1, 2015.

Regulation 30 of the Listing Regulations deals with disclosure of material events by listed entities, equity shares and convertible securities of which are listed on any of the Stock Exchanges. Such entities are required to make disclosure of events specified under Part ‘A’ of Schedule III of the Listing Regulations.

Listing Regulations divide the events that need to be disclosed broadly into two categories, as given below:

(i) Deemed to be ‘Material Events’:

Events that have to be necessarily disclosed, without applying any test of materiality are indicated in ‘Para A’ of ‘Part A’ of Schedule III of the Listing Regulations.

(ii) ‘Material Events’:

‘Para B’ of ‘Part A’ of Schedule III indicates the events that should be disclosed by listed entities if considered material, based on application of the guidelines for materiality as specified in sub-regulation (4) of Regulation 30.

Additionally, every listed entity is required to make disclosures of any events or information which, in the opinion of its Board of Directors, are material.

Sub-regulation 5 of Regulation 30 prescribes that the Board of Directors of a listed entity shall authorize one or more ‘Key Managerial Personnel’ for the purpose of determining materiality of an event or information and for the purpose of making disclosures to Stock Exchange(s) under this Regulation, and the contact details of such personnel shall be disclosed to the Stock Exchange(s) and as well as on the listed entity's website.

In accordance with Sub-regulation 8 of the Regulations, the company is required to disclose on its official website all such events or information which are to be disclosed to the Stock Exchanges pursuant to Regulation 30.

Sub-regulation 8 also states that the disclosures made to the Stock Exchanges shall be hosted on the website of the listed entity as per the ‘Archival Policy’.

II. Purpose

The Archival Policy provides guidance with regard to disclosures / information in terms of the Listing Regulations on the Bank’s website.

III. Scope

The Policy is subject to amendments in regulations from time to time, and modifications as may be approved by the Board of Directors.

IV. Policy Statement

Pursuant to Regulation 30 (8) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, the company shall disclose on its website all such events or information which has been disclosed to Stock Exchange(s) under this Regulation, and such disclosures shall be hosted on the website of the Bank for a minimum period of five years, from the end of the financial year in which the said disclosure was made, and thereafter the same shall be archived so as to be available for retrieval for such period as may be decided by the Managing Director and CEO of the Bank.

V. Policy Implementation

In terms of Regulation 30, disclosures made to the Stock Exchanges, inclusive of Press Releases, Intimations and any other submissions to the Stock Exchanges under Listing Regulations, etc., would be hosted on the website of the Bank viz., www.indusind.com.

In compliance with Sub-regulation 6 of the Regulations, the Bank shall first disclose to Stock Exchange(s) of all events, as specified in Part A of Schedule III, or information as soon as reasonably possible and not later than twenty four hours from the occurrence of an event or information.

Disclosures with respect to events specified in Sub-para 4 of 'Para A' of 'Part A' of Schedule III shall be made within thirty minutes of the conclusion of the Board Meeting.

VI. Review and amendments to the Policy

The Bank is committed to continuously reviewing and updating policies and procedures, and this policy is subject to modifications in line with regulatory changes.

Amendments to this Policy shall be carried out with the approval of Managing Director & CEO of the Bank, subject to review by the Board of Directors.